



B

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Tomo UENO

Serial No.: 09/646,988

Filed: November 16, 2000

For: A METHOD FOR FORMING A FILM

MAIL STOP

Notice of Allowance:

Mailed: 9/17/03

Confirmation No.: 3887

Group Art Unit: 1762

Examiner: Bret P. Chen

REQUEST FOR ACKNOWLEDGMENT OF RECEIPT AND CONSIDERATION OF  
INFORMATION DISCLOSURE STATEMENTS

MAIL STOP ISSUE FEE

Commissioner for Patents

P. O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

Applicants respectfully request the U.S. Patent and Trademark Office to return an initialed copy of the PTO-1449 filed March 31 2003 and April 22, 2003 with the IDS as acknowledgment of receipt and consideration thereof. A courtesy copy of that PTO-1449, IDS filed therewith, as well as a copy of applicants' representatives' postcard receipt evidencing receipt of these documents by the PTO, are enclosed.

Should there be any questions regarding this matter, the appropriate Patent and Trademark Office official is invited to contact the undersigned at the telephone number set forth below.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Charles A. Wendel

Registration No. 24,453

September 25, 2003  
Date

CAW/ch

Attorney Docket No. SUGI:093

PARKHURST & WENDEL, L.L.P.

1421 Prince Street, Suite 210

Alexandria, Virginia 22314-2805



Telephone: (703) 739-0220

(rev. 7/96)

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP. ACCT. 16-0331  
PARKHURST & WENDEL



|                    |   |                        |
|--------------------|---|------------------------|
| Atty. Docket No.   | SUGI:093                                    |                        |
| Description:       | Information Disclosure Statement; PTO-1449; |                        |
|                    | Cert. Under 37 CFR 1.97(e); Chinese Office  |                        |
|                    | Action; Two (2) References                  |                        |
| Inventor(s):       | Tomo UENO                                   |                        |
| Title (new cases): | A METHOD FOR FORMING A FILM                 |                        |
| Attorney:          | CAW/dlb                                     | Serial No.: 09/646,988 |
| Paper(s) Filed:    | April 22, 2003                              |                        |
| Date(s) Satisfied: | None  |                        |
| N.D.D.:            | None  |                        |





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tomo UENO

Serial No.: 09/646,988

Group Art Unit: 1762

Filed: November 16, 2000

Examiner: B. Chen

For: A METHOD FOR FORMING A FILM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Chinese Office Action, with translation, which issued March 7, 2003 in a corresponding Chinese patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited herein.

JP '922 has already been cited in an Information Disclosure Statement filed on March 31, 2003.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



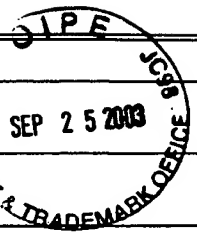
Charles A. Wendel  
Registration No. 24,453

April 22 2003  
Date

CAW/dlb

Attorney Docket No.: SUGI:093

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220



Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

ATTY DOCKET NO.  
SUGI:093

APPLICANT  
Tomo UENO

FILING DATE  
November 16, 2000

SERIAL NO.  
09/646,988

GROUP  
1762

| U.S. PATENT DOCUMENTS |                    |            |             |       |          |                               |
|-----------------------|--------------------|------------|-------------|-------|----------|-------------------------------|
| *EXAMINER<br>INITIAL  | DOCUMENT<br>NUMBER | DATE       | NAME        | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|                       | 5,284,789          | 02/08/1994 | MORI et al. | 437   | 113      |                               |
|                       |                    |            |             |       |          |                               |

| FOREIGN PATENT DOCUMENTS |                    |            |         |       |          |                       |   |
|--------------------------|--------------------|------------|---------|-------|----------|-----------------------|---|
|                          | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>NO YES |   |
|                          | EP 0 709 879 A1    | 05/01/1996 | EUROPE  |       |          |                       | X |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |
|                          |                    |            |         |       |          |                       |   |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |
|--|--|
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applic



SUGI:093

Atty. Docket No.

Information Disclosure Statement; PTO-1449;

Description:

Cert. Under 37 CFR 1.97(e); Korean Search

Report; Two (2) References

Inventor(s):

Title (new cases):

~~Tomo UENO~~

A METHOD FOR FORMING A FILM

Attorney:

CAW/dlb

Serial No.:

09/646,988

Paper(s) Filed:

Date(s) Satisfied:

March 31, 2003

N.D.D.:

None

None





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tomo UENO

Serial No.: 09/646,988

Group Art Unit: 1762

Filed: November 16, 2000

Examiner: B. Chen

For: A METHOD FOR FORMING A FILM

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents  
Washington, D.C.

Sir:

[X] Each item of information contained in the Information Disclosure Statement filed herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

[ ] No item of information in the Information Disclosure Statement filed, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more

than three months prior to the filing of this Information  
Disclosure Statement.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

March 31, 2003  
Date

Charles A. Wendel  
Charles A. Wendel  
Registration No. 24,453

Attorney Docket No.: SUGI:093

Attachment:  
Information Disclosure Statement

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tomo UENO

Serial No.: 09/646,988

Group Art Unit: 1762

Filed: November 16, 2000

Examiner: B. Chen

For: A METHOD FOR FORMING A FILM

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents  
Washington, D.C.

Sir:

[X] Each item of information contained in the Information Disclosure Statement filed herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

[ ] No item of information in the Information Disclosure Statement filed, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more

than three months prior to the filing of this Information  
Disclosure Statement.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

April 22, 2003  
Date

Charles A. Wendel  
Charles A. Wendel  
Registration No. 24,453

Attorney Docket No.: SUGI:093

Attachment:  
Information Disclosure Statement

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tomo UENO

Serial No.: 09/646,988

Group Art Unit: 1762

Filed: November 16, 2000

Examiner: B. Chen

For: A METHOD FOR FORMING A FILM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Korean Search Report bearing a mailing date of February 21, 2003, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any

